	INTERNAL AUDIT PLAN 2015 / 2016					
2015/16	% Plan Completion to Date					
Job No	Departments					
	Chief Executive					
	Office Excounts					
1115001	Partnership Arrangements					
1115002	Customer Services					
1115003	Press Office/communication					
1115004	Annual Governance Statement					
1215001 1215002	HR Function Modern Records					
1215002	Declaration of Interest					
1315001	Financial Management Other					
	Total Audit Days for Department					
	Education & Children					
2115001	Modernising Education Provisions					
2215001	Information Management					
2315001	Admissions					
2315002	Governor Support					
2315003 2315004	School Meals Catering Services					
2315004	Teachers starters & leavers					
2415001	Adoption & Fostering					
2415002	Family Support					
2415003	Partnering / Procurement					
2415004	Residential Care					
2415005	Safeguarding					
2515001	Financial Management Other					
	Total Audit Days for Department					
	Cronto					
	Grants					
3115001	Physical Regeneration					
3115002	Business Development					
3115003	Community Funding					
3115004	Third Party Grants Monitoring					
3215001	Education - Arrangements for EIG					
3215002	SCHH					
3215003 3315001	Resources Foundation Stage					
3315001	DCELLS Post 16					
3315003	Bus operators Grant					
3315004	Communities 2.0					
3315005	Supporting People					
3315006	LSB - Development Officer					
3315007	LSB - Regional Collaboration					
3415001	Financial Management Other					
	Total Audit Days for Department					
	Total Addit Bayo for Department					

REPORTING SCORES						
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Job No	Departments
	Chief Executive
	Resources
4115001	Main Accounting
4115002	VAT
4115003 4115004	Capital Accounting incl.Fixed Asset Register Treasury Management
4115004	Investments
4115006	Pensions Payroll System
4115007	Housing Benefits
4115008	Council Tax
4115009 4115010	NNDR Payroll System (Carms CC staff & Teachers)
4115010	Creditor Payments
4115012	Debtors System
4115013	Cash Receipting
4115014	Banking
4115015	Travel & Subsistence System
4115016 4215001	Trust Funds Asset Transfer
4215001	Property Management
4215002	Estate Management - HRA Garages & Land holdings
4215004	Provision / livestock markets
4315004	Financial Management Other
	Total Audit Days for Department
	Community Services
	Johnmanity del vices
5115001	
5115001 5115002	Tenant Involvement Tenancy Mgt
5115002 5115003	Tenant Involvement Tenancy Mgt Voids (incl Building Service involvement)
5115002 5115003 5115004	Tenant Involvement Tenancy Mgt Voids (incl Building Service involvement) Travellers Sites
5115002 5115003 5115004 5115005	Tenant Involvement Tenancy Mgt Voids (incl Building Service involvement) Travellers Sites Affordable Homes
5115002 5115003 5115004 5115005 5115006	Tenant Involvement Tenancy Mgt Voids (incl Building Service involvement) Travellers Sites Affordable Homes Rents
5115002 5115003 5115004 5115005	Tenant Involvement Tenancy Mgt Voids (incl Building Service involvement) Travellers Sites Affordable Homes Rents HRA
5115002 5115003 5115004 5115005 5115006 5115007	Tenant Involvement Tenancy Mgt Voids (incl Building Service involvement) Travellers Sites Affordable Homes Rents
5115002 5115003 5115004 5115005 5115006 5115007 5215001 5215002 5215003	Tenant Involvement Tenancy Mgt Voids (incl Building Service involvement) Travellers Sites Affordable Homes Rents HRA Contract Management/Partnerships Home Care Meal provision
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Job No	Departments					
	Chief Executive					
	Environmental Services					
6115001	Building Maintenance (excl Housing voids)					
6115002	Procurement					
6115003	Grounds Maintenance					
6215001	Highway Maintenance (incl. Trunk Roads)					
6215002 6215003	Waste Services Other Streetwork					
6215003	Street Lighting					
6215005	Cleaning Services					
6315001	Community transport					
6315002	Parking inc Enforcement					
6315003	Management systems (task)					
6415001	Conservation					
6515001	Financial Management Other					
	Total Audit Days for Department					
	Construction / Procurement / Contracts					
	Construction / Frocurement / Contracts					
6615001	Departmental Contract Management					
6615002	Contract Partnering Selection					
6615003	Capital Grant Management					
6615004	Framework contracts					
6615005	Dinefwr Reorganisation					
6615006	Carmarthenshire Homes Standard					
6615007	MEP					
6615008 6615009	New residential home Carmarthen Carmarthen West Link Road					
6615010	Leisure - Museum garden scheme					
6615011	Stradey Phase 1					
6715001	Financial Management Other					
	Total Audit Days for Department					
	Computer Audit					
4415001	IT Procurement					
4415001	Corporate File Plan					
4415002	Cloud Computing					
4415004	Data Protection					
4415005	Computer Assisted Audit Testing(CAATs)					
4415006	NFI					
4415007	- Agresso Developments					
4415008	Pensions					
4415009	-Revenues/ Benefits / DIPS					
4415010	- Payment Cards					
4415011	Resources / WWEC - Grants Matrix system					
4415012 4515001	Other Systems - Total Mobile Financial Management Other					
701001	I manoiai management Other					
	Total Audit Days for Department					

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Job No	Departments
	Chief Executive
	School Audits Primary Schools
2615001	Maesybont C.P. School.
2615002	Ysgol Gynradd Y Tymbl
2615003	Pontiets C.P. School
2615004	Betws C.P. School
2615005	Ysgol Gynradd Tycroes
2615006	Ysgol Gynradd Parcyrhun
2615007	Nantygroes C.P. School
2615008	Talley C.P School
2615009	Cwrt Henry
2615010	Ysgol Rhys Pritchard
2615011 2615012	Llys Hywel Whitland Ysgol Gynradd Brynsaron
2615012	Llangennech Junior School
2615013	Hendy C.P. Mixed School
2615015	Brynamman Primary School
2615016	Abernant
2615017	Parc Y Tywyn School
2615018	Pembrey
2615019	Ysgol Beca
2615020	Ysgol Gynradd Hafodwenog
2615021	Llandeilo C.P. School
2615022	Y.G. Cynwyl Elfed
2615023	Halfway C.P. School.
2615024	Pwll C.P. Mixed School
2615025 2615026	Ysgol Y Castell Penygroes C.P. School
2615027	Ysgol Gynradd Nantgaredig
2615028	Ysgol Gymraeg Gwenllian
2615029	Ysgol Dewi Sant, Llanelli.
2615030	Stebonheath C.P. School
2615031	Ysgol Y Ddwylan
2615032	Ysgol y Fro
2615033	Carreg Hirfaen Foundation School
2615034	Ysgol Cae'r Felin
2615035	Ysgol Y Bedol
2615036	Ysgol Brynteg
2615037	Ferryside V.C.P. School Primary Schools
	Filliary Schools
	Secondary Schools
2715001	Ysgol Bro Dinefwr
2715002	Dyffryn Amman
2715003	Dyffryn Taf
2715004	Ysgol Gyfun Emlyn
2715005	Bro Myrddin
2715006	Coedcae
2715007	St John Lloyd
	Secondary Schools
	Additional Work Not Included in Original Plan
	SEG - School Effectiveness Grant
	WEG - Welsh in Education Grant
	14 to 19 Learning Pathways
	Pupil Deprivation Grant Pembray Country Park / MCP / Country Parks
	Pembrey Country Park / MCP / Country Parks Ski Slope Mngt and Operational
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17/09/15	Report Date	No. of 3 * Issues	No. of 2 * Issues	No. of 1 * Issues	Total No. Issues	Score	Assurance Level
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SCORING METHODOLOGY

Star Rating	Weighting
3* Issue	5 Points
2* Issue	3 Points
1* Issue	1 Point

ASSURANCE LEVEL - BASED ON NUMBER OF RECOMMENDATIONS AND WEIGHTING

Scores		Assurance Level	
0 to 2		High	Good controls consistently applied.
3 to 10 with no 3* recommendations		Acceptable	Moderate controls, some areas of non compliance to agreed controls.
11 & over or including 1 or more 3* recommendations		Low	Inadequate controls